



FINANCIAL PLANNING SERVICES	HALF	FULL	ULTRA
Initial Planning Year Fee	\$600	\$1200	\$2000
Ongoing Financial Planning Services After Year 1 *Ongoing Fee waived with Assets Under Management >\$500,000	\$50/month	\$100/month	\$150/month
Offered Meetings Per Year	1	2	4
Investor Risk Tolerance Assessment	✓	✓	✓
Asset Allocation Analysis	✓	✓	✓
Online Client Portal & Vault	✓	✓	✓
Cash Flow Management	✓	✓	✓
Net Worth Analysis	✓	✓	✓
Insurance Review: Existing Life/LTC/Disability	✓	✓	✓
Insurance Needs Analysis: Existing Life/LTC/Disability	✓	✓	✓
Education Planning & Funding		✓	✓
Retirement Forecast		✓	✓
Customized Portfolios		✓	✓
Required Minimum Distribution Strategy		✓	✓
Retirement Income Strategies Planning		✓	✓
Inherited Account Distribution Strategy		✓	✓
Company Stock Analysis & Employee Benefits Review		✓	✓
Investment Advice for Held-away Assets			✓
Estate Plan & Trust Review			✓
Family Wealth/Legacy Planning			✓
Charitable Gifting Strategies			✓
Wealth Building Planning			✓
Tax Planning, Cost Basis Analysis, Tax Harvesting			✓
Social Security Analysis & Planning			✓
Long Term Care Planning			✓
Year-End Tax Planning with a Tax Professional			✓

Additional Planning Services available a la carte at an additional fee of \$200 each

FEE BASED ASSET MANAGEMENT SERVICES			
Combined Account Size	<\$1M	\$1M-\$2M	\$2M+
Advisor Asset Management Fee**	1.00%	0.90%	0.75%
Year-End Tax Planning with a Tax Professional	Annual	Semi-Annual	Quarterly

MANAGEMENT SERVICES:

- Investor Risk Tolerance Assessment
- Investment Asset Allocation Analysis & Development
- Investment Research & Due Diligence Reviews
- Screening & Manager Selection
- Portfolio Optimization Rebalancing
- Investment Monitoring & Performance Reporting
- Tax & Cost Basis Reporting
- Required Minimum Distribution Calculations
- Inheritance & Beneficiary Account Planning
- Online Account Access

****Advisor Asset Management Fee is for advisor fee only. Does not include platform and sub manager fee**

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